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**Request for Continued Examination (RCE) Transmittal**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

Application No.	09/592,404
Filing Date	June 13, 2000
Named Inventor(s)	
Nicolas J. Hammond	
Group/Art Unit	2131
Examiner Name	Christian Laforgia
Attorney Docket No.	05456.105045

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice. Final Rule, 65 Fed. Reg. 50022 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14863 (mar. 20, 2000), 1222 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

**1. Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on \_\_\_\_\_. (Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.
- iii. ☐ Other \_\_\_\_\_.
- b. ☒ Enclosed
- i. ☒ Request for Continued Examination Amendment and Response.
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Petition for Extension of Time Under 37 C.F.R. § 1.136.

**2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

**3. Fees** (The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 11-0980.
- i. ☒ RCE Fee required under 37 CFR § 1.17(e) [Fee: \$790.00]
- ii. ☒ Extension of time fee (37 CFR §§ 1.136 and 1.17) [Fee \$120.00]
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**Signature of Applicant, Attorney or Agent Required**

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By: James M. Hannon Reg. No. 48,565  
Date: May 9, 2005  
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**Certificate of Mailing or Transmission**

I hereby certify that this correspondence is being facsimile transmitted to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attn: GAU: 2131, Examiner Christian Laforgia, Facsimile No. 703-872-9306 on May 9, 2005.

James M. Hannon  
James M. Hannon, Reg. No. 48,565

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

**Nicolas J. Hammond**

**Serial No. 09/592,404**

**Filed: June 13, 2000**

**For: METHOD AND APPARATUS FOR  
AUDITING NETWORK SECURITY**

**Examiner: Christian Laforgia**

**Art Unit: 2131**

**Confirmation No.: 5767**

# REQUEST FOR CONTINUED EXAMINATION AMENDMENT AND RESPONSE

**Box RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**Sir:**

In response to the Official Action mailed January 7, 2005, please enter the following amendments. Please re-examine and reconsider the application in view of these amendments and appended remarks.

**Amendments to the Specification begin on page 2 of this paper.**

Amendments to the claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 12 of this paper.

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I hereby certify that this correspondence is being facsimile transmitted to Box RCE, the Commissioner for Patents, Alexandria, VA 20231, Attention: Examiner Laforgia, Group Art Unit 2131, Facsimile No. 703-872-9306, on May 9, 2005.

James M. Hannon, Reg. No. 48,565